

Hong Kong Stock Services Fee Schedule 香港證券服務收費

Fee Nature費用名稱		Fee Amount (HKD)費率/費用(港元)
1	Transaction 證券交易費	
i.	Commission 經紀佣金	0.25% of Gross Transaction Amount (Min. HKD100, whichever higher) (Negotiable) 成交金額 0.25% (最低 100港元) (可商議)
ii.	Stamp Duty 政府股票印花稅	0.1% of Gross Transaction Amount (Round up to the nearest dollar) 成交金額 0.1% (不足1元亦作1元計)
iii.	Transaction Fee 交易費	0.005% of Gross Transaction Amount 成交金額 0.005%
iv.	Transaction Levy 交易徵費	0.0027% of Gross Transaction Amount 成交金額 0.0027%
v.	CCASS Fee 結算費	0.002% of Gross Transaction Amount (Min. HKD2)(Max. HKD100) 成交金額 0.002%(最低 2港元)(最多 100港元)
2	Settlement 交收費用	
i.	Overdue Charge (Cash A/C) 延遲交割	Overdue Amount x (Prime + 5%) 逾期金額 x (銀行基本利率 + 5%)
ii.	Return Cheque Handling Charges 退票處理費用	HKD200 per returned cheque 每張退還支票200港元
iii.	SI via CCASS 交收指示 — 經由中央結算(SI)	
	Stock Deposit 存入股票	Free 免費
	Stock Withdrawal 提取股票	Stock Value 0.01% (based on last trading day's closing price)(Min HKD3) + Handling charges HKD100 per stock 股票值 0.01%(以前一日收市價計算)(最低收費為 3港元) 另加每隻股票100港元手續費
iv.	ISI via CCASS 交收指示 — 經由個人投資者戶口 (ISI)	
	Stock Deposit 存入股票	Free 免費
	Stock Withdrawal 提取股票	Stock Value 0.01% (based on last trading day's closing price)(Min HKD3) + Handling charges HKD100 per stock 股票值 0.01%(以前一日收市價計算)(最低收費為 3港元) 另加每隻股票100港元手續費
v.	Stock Deposit - Physical 存入股票 - 現貨	Free for deposition, HKD5 stamp duty per transfer deed 免費存倉, 每張轉手紙港幣5元厘印費
vi.	Stock Withdrawal - Physical 提取股票 - 現貨	HKD3.5 per board/odd lot + Handling charges HKD200 per stock 每手3.5港元(碎股視作1手計算) 另加每隻股票200 港元手續費
3	Nominee Services 代理人服務費	
i.	Custody Fee 股票保管費	Free 免費
ii.	Scrip Fee 過戶費	HKD1.5 per board/odd lot 每手股票1.5港元 (碎股視作1手計算)
iii.	Dividend Collection Charges 代收現金股息	0.5% of Dividend income, min HKD20/CNY20/USD2.60 所收現金股息金額之0.5%, 最低收費港幣或人民幣20元或美元2.60
iv.	Bonus Shares Collection Charges excluding Rights and Open Offer share 代收紅股股份 (不包括供股及公開發售股份)	HKD20 per transaction 每次收取20港元
v.	Dividends/ Bonus Shares Claim 代追股票紅股	HKD500 per claim per stock and per distribution period 按每期派發每隻股份每次收費500元
vi.	Rights/Open Offer/Warrant exercise 行使供股權/公開發售股份/認股權證	HKD100 per transaction + Corporate Action fee HKD0.8 per board/odd lot 每宗收取100港元 另加 行動服務費每手0.8港元(碎股視作1手計算)
vii.	Fee for Excess Rights /Open Offer Application 申請額外供股權/公開發售股份費	Excess Corporate Action fee HKD0.8 per board/odd lot of successful excess shares 額外行動服務費每手額外成功申請的新股收取0.8港元(碎股視作1手計算)
viii.	IPO Application 代認購新股	HKD100 per application 每宗申請100港元
ix.	Handling Charges for Unclaimed Entitlements 代處理申請發還過期股息	HKD500 per case 每宗申請500港元
x.	Stock Registration 股票轉名	HKD2.5 per certificate + Handling charges HKD500 每張股票2.5港元另加500港元手續費
xi.	Derivative Warrant Call Event/CBBC Mandatory Call Event/Merger by Exchange of Share 衍生權證強制贖回/牛熊證強制贖回/股份合併	HKD20 per transaction + Corporate Action fee HKD0.8 per board/odd lot 每宗收取20港元 另加 行動服務費每手0.8港元(碎股視作1手計算)
xii.	Accept Cash Offer/Privatization 現金收購/私有化	HKD20 per transaction + Corporate Action fee HKD0.8 per board/odd lot + Stamp Duty 每宗收取20港元 另加 行動服務費每手0.8港元(碎股視作1手計算) 另加 印花稅
4	Other Charges 其他費用	
i.	Duplicate Copy of Statement 重印成交單或月結單	Free if within one year, HKD100 per copy if over one year 一年內免費, 超過一年每份收取100港元
ii.	Audit Confirmation 審計證明書	HKD150 per copy 每份收費150港元
iii.	To IRD for stamp duty 代往稅局打印印花稅	Stamp duty + HKD500 per transaction 印花稅 另加每宗500港元手續費
iv.	To share registrar for transfer of ownership 代往過戶處辦理過戶	HKD500 per transfer of ownership 每宗過戶辦理500港元手續費

更新日期: 二零一六年七月  
update as at July 2016

以上收費僅供參考, 本公司如有任何更改, 恕不另行通告。  
The charge above are for reference only; They are subject to change without prior notice